

For investors who are applying through a financial adviser - to be completed by the financial adviser:

**CERTIFICATE OF VERIFICATION OF IDENTITY (COVI)  
PRIVATE INDIVIDUAL - INTRODUCTION BY FCA-REGULATED FIRM**

To: Woodside Corporate Services Limited (“WCSL”)

<b>1. The customer’s details</b> (see notes below) <sup>1</sup>	
Customer’s full name	
Current address	
Previous address if individual has changed address in the last three years	
D.O.B.	

<b>2. Confirmation<sup>2</sup></b>	
I/we <sup>3</sup> confirm that:	
i. the information in section 1, above, was obtained and verified by me/us in relation to the customer;	
ii. The customer named in section 1 is acting on his or her own behalf;	
iii	
a. The customer is not a PEP (Politically Exposed Person), or a person known to be a close relative or associate of a PEP;	<input type="checkbox"/>

<sup>1</sup> A separate confirmation is to be completed for each customer (e.g. joint holders or trustees). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.

<sup>2</sup> This form cannot be used to verify the identity of any customer that falls into one of the following categories:

- Those exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
- Those whose identity has not been verified by virtue of the application of a permitted exemption under the Money-Laundering Regulations, or
- Those whose identity has been verified using the source of funds as evidence.

<sup>3</sup> Delete as appropriate.

b. The customer is a PEP or a person known to be a close relative or associate of a PEP; (Please provide full details.) <input type="checkbox"/>	
iv. There are procedures in place to monitor the activities of the customer and we will report any suspicious activities to the appropriate authorities, and where appropriate to WCSL;	
v. The evidence I/we have obtained to verify the identity of the customer: <b>[tick one]</b>	
a. <b>meets the requirements of the FCA</b> , the current guidance issued by JMLSG and the current Money Laundering Regulations	
b. <b>exceeds the standard evidence required by the FCA</b> , the current guidance issued by JMLSG and the current Money Laundering Regulations (written details of the further verification evidence taken are attached to this confirmation).	

vi. I/we agree to provide copies of documents obtained to verify identity if requested.	
Signed <sup>4</sup>	
Name	
Position	
Date	

<b>3. Details of introducing firm (or sole trader)</b>	
Full name of regulated firm/sole trader	
FCA reference number	

<sup>4</sup> This must be an original signature or electronic equivalent and should be signed by an FCA Approved Person such as a Director and/or Money Laundering Reporting Officer (MLRO).